

Terms and Conditions for NJ Partner code Change by Investor V2.0

1) **Change of NJ Partner Code will be processed after the request is received at H.O. and with effective date as quarter start date. Upfront for the month in which request is received will be paid to New Partner.**

2) Account Name is the investor name appearing on the valuation report and Group Name is the group where account is mapped by the existing NJ Partner. In case if account is in the default group of partner, you may mention as Default in the group name field.

3) Separate request to be given for each unique investor.

4) If an investor is having multiple Investments in a NJ Partner Code, such investors accounts need to specified along with group name in the request.

5) If the Investor's name is registered as Trading Account, then such investor will not be shifted to new code.

6) Providing PAN in the respective field is mandatory

7) Along with the request to change the code, any one of the self attested signature proof of investor to be enclosed:

- a) Driving License Copy
- b) PAN Card Copy
- c) Passport Copy
- d) Banker's Signature Attestation

***Signature on the request must tally with the signature proof enclosed.**

8) If investor name is registered as a Wealth Account, investment will be shifted to new partner & all the future brokerage payment of that wealth account will be paid to new partner.

9) The trail brokerage for the quarter in which request is received shall be paid to the new partner. i.e., if request is received on 15-11-10, trail brokerage for October to December 2010 shall be paid to the new partner on the transactions shifted to his/her code

10) Request to change partner code by way of submitting request to AMC/R&T or by changing the ARN on existing NJ folio to Direct or other code and again changing it to NJ's ARN with another Partner Code will not be considered.

11) All Brokerage Payments will be given to the New NJ Partner on transactions after change of code process date.

12) In case if new partner is enrolled as a Non Individual Partner, rubber stamp to be affixed along with the signature.

13) A partner could not transfer his own Investment to other partner.

14) New partner would be eligible for brokerage on previous assets transferred to his code only if on the effective date of transfer new partner is having valid ARN, has submitted the SDC and is KYD compliant. If the new partner is not compliant/suspended on the effective date of transfer then he would not be eligible for any brokerage during the life of assets even though later the partner completes the compliance part.

15) In future if the investor claims that he was not aware of said shifting of broker code then **decision will be taken by NJ India invest Pvt Ltd..**

16) Only Mutual Fund transactions would be shifted to new partner.

(Investor's Signature)

(New NJ Partner's Signature)

Request for change of NJ Partner Code by Investor V2.0

Date: _____

To,
NJ India Invest Pvt. Ltd.
Udhan.Surat

Dear Concerned,

Sub : Change of NJ Partner Code

I the undersigned _____ and

PAN No. _____ having an investments under your below NJ Partner
code(s) here by request you to Change the partner code on All transaction to :

NEW Partner Code : _____

New Partner Name : _____

My Current Investment details :

| Sr. No. | Name of Investor | Group Name | Existing NJ Partner Code |
|---------|------------------|------------|--------------------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

Kindly do the needful to update the New partner code on all of my investments.

(Investor's Signature)

(New Partner's Signature)